

APPROVED by
Order No. 4-482 of the Minister of Economy of the Republic of
Lithuania, dated December 22, 2003
(amendment to Order No. 4-386 of the Minister of Economy of the
Republic of Lithuania, dated October 17, 2006)

SCHEDULE OF CERTIFICATION PROCEDURES AND CONDITIONS OF THE ENTERPRISES GRANTED THE RIGHT TO OPERATE POWER UNITS

I. GENERAL PROVISIONS

1. The Schedule of Certification Procedures and Conditions of the Enterprises Granted the Right to Operate Power Units (hereinafter referred to as the Schedule) stipulates certification principles of legal entities registered in the Republic of Lithuania or foreign legal entities (Item 7.1 of the Schedule) (hereinafter referred to as the Enterprise) and major requirements to the afore mentioned certification principles.

2. The Schedule prepared in accordance with the requirements of Article 3 of the Law on Amendment and Addenda to the Articles 6, 12, 16, 17, 18 of the Law on Energy of the Republic of Lithuania (Official Gazette, 2003, No [69-3118](#)).

3. Certification is carried out and certificates register is managed by the State Energy Inspectorate under the Ministry of Economy of the Republic of Lithuania (hereinafter referred to as the Inspectorate).

4. The Inspectorate issues certificates, extends and suspends validity of these, cancels suspension of validity or certificate validity. Following the competence, the Inspectorate monitors observance of provisions established in certificates (Items 7.2, 7.7 of the Schedule).

5. The companies operating power units for commercial purposes or seeking to be granted the right to carry out the afore-mentioned activities shall be subject to certification.

6. The companies operating power units for their own needs, when the afore mentioned power units are possessed under the proprietorship right or managed in accordance to any other legal ways, shall be subject to certification under their request, in case the legislation in force does not stipulate otherwise (Items 7.4, 7.5, 7.6 and 7.8 of the Schedule).

II. REFERENCES

7. The legislation subject to references in this Schedule are as follows:

7.1. The Civil Code of the Republic of Lithuania (Official Gazette, 2000, No [74-2262](#));

7.2. The Law on Energy of the Republic of Lithuania (Official Gazette, 2002, No [56-2224](#));

7.3. Heat Law of the Republic of Lithuania (Official Gazette, 2003, No [51-2254](#));

7.4. The Licensing Regulations for the Activities Carried Out in Power Sector, approved by the Decree No 1474 of the Government of the Republic of Lithuania, dated December 5, 2001 (Official Gazette , 2001, No [104-3713](#); 2003, No [48-2121](#));

7.5. The Licensing Regulations for the Transmission, Distribution, Storage and Supply of Natural Gas, approved by the Decree No 743 of the Government of the Republic of Lithuania, dated June 19, 2001 (Official Gazette, 2001, No [53-1878](#));

7.6. The Licensing Regulations for Heat Supply, approved by the Decree No 982 of the Government of the Republic of Lithuania, dated July 25, 2003 (Official Gazette, 2003, No [75-3481](#));

7.7. The National Power Control and Consumers' Power Units Control Procedures, approved by the Order No 4-270 of the Minister of Economy of the Republic of Lithuania, dated July 3, 2003 (Official Gazette, 2003, No [70-3199](#));

7.8. The Regulations for Liquid Hydrocarbon Gas Supply to Customers, approved by the Order No 426 of the Minister of Economy of the Republic of Lithuania, dated December 23, 1998 (Official Gazette, 1998, No [115-3263](#));

7.9. Company's Technological, Financial and Management Capacities' Assessment Procedures, approved by the Decree No O3-99 of the National Control Commission for Prices and Energy, dated December 1, 2003, issued by the National Control Commission for Prices and Energy (Official Gazette, 2003, No [116-5316](#));

7.10. The Regulations on Power Supply and Consumption, approved by the Decree No 4-350 of the Minister of Economy of the Republic of Lithuania, dated October 7, 2005 (Official Gazette, 2005, No [120-4328](#));

7.11. The Licensing Regulations for Heat Supply, approved by the Decree No 982 of the Government of the Republic of Lithuania, dated July 25, 2003 (Official Gazette, 2003, No [75-3481](#));

7.12. The Licensing Regulations for Heat Supply and Consumption, approved by the Decree No 4-258 of the Minister of Economy of the Republic of Lithuania, dated June 30, 2003 (Official Gazette, 2003, No [70-3193](#));

7.13. The Regulations on Heating Network and Heat Energy Consuming Units Maintenance (Operation), approved by the Decree No 4-291 of the Minister of Economy of the Republic of Lithuania, dated August 5, 2005 (Official Gazette, 2005, No [100-3735](#));

7.14. Standard Provisions of Heat Purchase-Sales Contracts, approved by the Decree No 4-260 of the Minister of Economy of the Republic of Lithuania, dated June 30, 2003 (Official Gazette, 2003, No [70-3195](#));

7.15. Standard Provisions of Building Heating and Hot Water Systems Maintenance Contracts, approved by the Decree No 4-260 of the Minister of Economy of the Republic of Lithuania, dated June 30, 2003 (Official Gazette, 2003, No [70-3195](#));

7.16. The Procedures of Building Heating and Hot Water System Maintenance, approved by the Decree No 4-259 of the Minister of Economy of the Republic of Lithuania, dated June 30, 2003 (Official Gazette, 2003, No [70-3194](#));

7.17. The Regulation on Efficiency Inspection-Check-Outs of Installed in Buildings Heating Boilers Consuming Non-Recoverable Hard or Liquid Fuels, with Nominal Yield Power of ≥ 20 kW, as well as of Heating Systems Using Aged Heating Boilers (>15 years) Producing ≥ 20 kW Nominal Yield Power, Non-Recoverable Hard or Liquid Fuels, approved by the Decree No 4-73 of the Minister of Economy of the Republic of Lithuania, dated February 28, 2006 (Official Gazette, 2006, No [27-902](#));

7.18. The Regulation on Efficiency Inspection-Check-Outs of Installed in Building Air Conditioning Systems with Nominal Yield Power >12 kW, approved by the Decree No 4-73 of the Minister of Economy of the Republic of Lithuania, dated February 28, 2006 (Official Gazette, 2006, No [27-902](#));

7.19. The Regulations on Distribution and Building Gas System Operation, approved by the Decree No 4-43 of the Minister of Economy of the Republic of Lithuania, dated February 19, 2004 (Official Gazette, 2004, No [33-1067](#));

7.20. The Regulation on Certification of Employees Erecting and Operating Power Sites and Units, approved by the Decree No 4-122 of the Minister of Economy of the Republic of Lithuania, dated March 24, 2005 (Official Gazette, 2005, No [41-1321](#)).

III. TERMS AND DEFINITIONS

8. The following terms and definitions shall be used in the Schedule:

Certificate is the document certifying proper readiness to operate power units.

Company certification is inspecting of company's readiness to execute power units operation works and ensure their quality regulated by power normative legal acts.

Power is the economy sector comprising power activities.

Power activities are the economic activities, comprising investigation, extraction/mining, processing, production, storing, transportation, transmission, distribution, supply, sales, marketing, of power resources or energy as well as power objects and units operation.

Power/Energy sector are the power areas directly related to any kind of power/energy resources or energy, i.e. electrical power, heat, natural gas, oil, oil products, recovering energy sources, etc.

Company is a legal entity or an affiliate of foreign legal entity, operating power units for commercial purposes or seeking to be granted the right to make business in this area.

Power company is a company carrying out its activities in energy sector.

Power objects are power-stations and boiler-houses; power networks and their appurtenances; natural gas systems; natural gas storage facilities; liquid natural gas import and export terminals and storage facilities; crude pipelines, oil product supply pipelines; oil refining units; oil and oil product terminals and storage facilities; heat supply networks and their appurtenances.

Power unit is a technical structure (mechanism, machine, device, line and their supplementary equipment) designed for power resources' and/or energy investigation, extraction, processing, production, storage, transportation, transmission and distribution.

Electrical power units are the units designed to produce, supply (transmit), change (transform) and distribute electrical power.

Heating units are the units, designed to produce, accumulate, reduce, supply and distribute heat energy as well as liquid and hard fuel and water units necessary for heat production and supply.

Gas units are the units designed to supply, transport, transfer, distribute and store combustion gases, such as natural gas, liquid natural gas or LPG (petroleum gas).

Oil and oil product units are the units designed for oil extraction, primary and secondary refining, as well as for oil and oil product supply (transportation) via pipelines, and transfer and storage of the afore-mentioned products.

Terminal (storage facilities) is a facility of specially equipped loading nits, fuel storage tanks, as well as other pieces of equipment and buildings used for oil and oil products and other liquid fuels storage, fuel blends preparation (blending) and transfer to/from mobile tanks and/or tankers.

Power units operation comprises the technological management, maintenance, repair, measuring, testing, starting up and adjustment/calibration works on power units.

Power units technological management comprises the complex of technical and organizational measures, ensuring reliable, efficient and safe starting up (turning on) of power units, maintaining of the set operation mode, stopping (turning off), failure and accident troubleshooting and restoring of a normal operation mode.

Power units service and maintenance means power unit check-ups, inspections as well as troubleshooting of defined deficiencies, faults and failures to ensure reliable, efficient and safe operation of the afore mentioned units. The afore-mentioned procedures are executed following the provisions of normative legislation in force.

Power units repair are the works, during which initial characteristics (specified in technical certificate) of a unit are fully or partially recovered, in order to ensure further proper operational condition of the units in question. During the afore-mentioned works individual units or sections/parts of the equipment in question are replaced, repaired or reconstructed.

Power units testing (start-up, adjustment/calibration, testing and measuring) is the complex of testing and measuring, checking and adjustment/calibration of different values necessary to define performance and material characteristics of power units. The afore-mentioned procedures are executed following the provisions of normative legislation in force. The aim of the afore mentioned works is to obtain and maintain or improve the values and modes of the pieces of equipment preset by manufacturer or included in the design, ensuring stability (reliability) as well as efficiency and safety of a technological process.

Power units operation activities is the provision of power units operation services (i.e. unit technological control, repair, testing and servicing works) to the customers (other natural and legal entities). The activities when a person/entity operates power units possessed under the proprietorship right or managed in accordance to any other legal ways used for such person's/entity's personal needs do not fall under the category of power units operation activities.

Performance manager is a person with the proper qualification, authorized by the company manager to organize and manage power units' operations works, and who is certified following the appropriate legislation in force

Outrage is any breach of the Schedule or other legislation regulating power activities, which might result in power units' breakdown or failure, or may cause fatal risk and risk to the personal safety.

Other major terms and definitions used in the Schedule are defined by the laws of the Republic of Lithuania.

IV. CERTIFICATE TYPES

9. The following types of certificates are defined:

- 9.1. power units operation (Appendix 11 of the Schedule);
- 9.2. heating units and turbines operation (Appendix 12 of the Schedule);
- 9.3. natural gas units operation (Appendix 13 of the Schedule);
- 9.4. LPG units operation (Appendix 14 of the Schedule);
- 9.5. oil and oil products units operation (Appendix 15 of the Schedule).

10. Each certificate is supplemented with the mandatory appendix. The appendix specifies the list of power units operation works that the certified company is authorized to carry out, i.e.:

- 10.1. power units' operation works, specified-defined in the Appendix 1 of the Schedule;
- 10.2. heating units and turbines operation works, specified-defined in the Appendix 2 of the Schedule;
- 10.3. natural gas units operation works, specified-defined in the Appendix 3 of the Schedule;
- 10.4. LPG units operation works, specified-defined in the Appendix 4 of the Schedule;
- 10.5. oil and oil products units' operation works, specified-defined in the Appendix 5 of the Schedule.

V. CERTIFICATION REQUIREMENTS

11. The following certification requirements shall be established to the enterprises, operating power units for commercial purposes:

The enterprises shall be:

- 11.1. registered in accordance with the legislation in force;
- 11.2. ready to carry out the following power units operation works:

11.2.1. holding all the process, normative and technical documentation necessary for execution of power units operation works. The afore-mentioned documentation shall specify all technical data on operated units as well as recommended operation procedures, etc.;

11.2.2. holding all the technological process equipment and devices necessary for execution of power units operation works;

11.2.3. power units' operation works shall be carried out by performance managers and experts of proper qualification authorized in accordance with the procedures stipulated by the legal act provided by Item 7.20 of the Schedule and working in an enterprise under the Labor Contract.

12. The foreign enterprises that are not registered in the Republic of Lithuania in accordance with the set forth procedures shall be certified for power units operation works in one particular site. The documentation of the afore mentioned foreign enterprises shall be legalized in accordance with the procedures stipulated by the appropriate laws of the Republic of Lithuania as well as by other legal acts. Other requirements imposed on a foreign enterprise are adequate to these imposed on Lithuanian enterprises (Item 11 of the Schedule). Companies certified by any competent EU institution are not subject to certification in Lithuania, however all documentation of such companies granting the right to carry out the afore mentioned works in the EU member states as well as in other states – signatories of the European Economic Area Treaty shall be registered in the Inspectorate.

VI. DOCUMENTATION SUBMISSION PROCEDURE

13. A company requesting to issue a certificate on power units operation or to supplement the list of works included in the existing certificate shall submit the Inspectorate the following:

13.1. an application of the certain set format (Appendix 6 of the Schedule) with all documents specified in the application enclosed as well as with the document confirming the payment for verification of the certification related data provided by a company;

13.2. a copy of company registration certificate, signed-approved by the company manager or by any person authorized by the company manager;

13.3. company data card (Appendix 7 of the Schedule) with all documents specified in the afore mentioned data card enclosed;

13.4. the list of requested to be executed by a company power units operation works;

13.5. the list of existing major process, normative and technical documentation, including technical data on operated units, recommended operational procedures, etc. necessary to execute the works specified in the afore mentioned application. The afore mentioned list shall be signed-approved by the company manager or by any person authorized by the head of a company;

13.6. the list of existing major technical and process units and devices, necessary to execute the works specified in the afore mentioned application, as well as the list of personal protection measures, necessary to ensure safe work. The afore-mentioned lists shall be signed-approved by the company manager or by any person authorized by the head of a company. The set of documentation shall also include the copies of all agreements and contracts on units and devices rent or loan;

13.7. the certificate on performance managers and experts certification enabling to operate power units (Appendix 8 of the Schedule).

A company requesting to supplement the list of works specified in a certificate, shall submit all documentation specified in this chapter and certifying the company's readiness to execute these additional works.

14. A foreign company shall submit the following documents:

14.1. the documents specified in Items 13.1, 13.3, 13.4, 13.6 and 13.7 of the Schedule, prepared in the Lithuanian language;

14.2. company registration document legalized in accordance with the procedures stipulated by the legislation of the Republic of Lithuania;

14.3. the list of major works executed by the company in question;

14.4. in case it is requested to operate the power units the maintenance of which is executed by the maintenance authorities authorized by the Government of the Republic of Lithuania – the translations into the Lithuanian language of original versions of certification documents issued in the country of company's registration approved in accordance with the procedures stipulated by the legislation of the Republic of Lithuania (the term of validity in the country in question of an appropriate certificate issued to the company shall coincide with or exceed the contract expiry date).

If, within the period of two years, a foreign company has submitted to the Inspectorate legalized and translated into the Lithuanian language the documents required for issuing a certificate, then in order to get a new certificate, the company shall be subject to submitting all the documents changed, amended or modified during the defined period. In such case the company manager shall provide in the application the list of formerly submitted legalized and translated into the national language documents that were not changed, amended or modified during the same period. Other documents specified in this chapter shall be subject to submitting having assessed the changes.

15. Certification expenses shall be covered by an applying company at the fees set by the Minister of Economy of the Republic of Lithuania.

16. If a company's certification results are negative, the fees shall be not returned.

VII. DOCUMENTATION ANALYSIS AND RESOLUTION ADOPTION PROCEDURES

17. Companies may submit their documents in the following institutions:

17.1. the Lithuanian and joint Lithuanian and foreign capital enterprises in case power units in the company are operated in total (in all power sectors) by maximum 50 employees, may submit their documents in the Territorial Units of the Inspectorate;

17.2. In the Technical Divisions of the Inspectorate:

17.2.1. the Lithuanian and joint Lithuanian and foreign capital enterprises in case power units in the company are operated in total (in all power sectors) by 50 or more employees;

17.2.2. the foreign capital enterprises, not taking into consideration employee number;

17.2.3. the enterprises, not taking into consideration power units operating staff number, wishing to execute the following operation works of:

17.2.3.1. ≥ 110 kV power units or their relay safety, automatics, control systems;

17.2.3.2. > 1 MW power electric motors and generators;

17.2.3.3. the heating units(boilers), with medium pressure at their outlets > 4.0 Mpa, and their process safety and automatics devices;

17.2.3.4. the natural gas-mains, distribution stations and their process safety and automatics devices;

17.2.3.5. > 1 MW power turbines, their process safety and automatics devices;

17.2.3.6. crude and oil product supply pipelines, terminals and storage facilities of 10000 m³ and bigger capacity, their process safety and automatics devices at the oil refining companies whose annual oil refining capacity is minimum 50000 tones.

18. In the Inspectorate the documents of applicants shall be analyzed and the resolution regarding the certificate and its mandatory appendix issuance or supplementing made under the following procedures:

18.1. Territorial Unit (or Monitoring, Technical Divisions) of the Inspectorate shall (not later than within 30 calendar days from the date of receipt of the entire documentation set) check the data provided by an enterprise and, having assessed the enterprise in accordance to the requirements set forth in Chapter V of the Schedule, shall prepare the Conclusions on the readiness of the enterprise in question to operate power units (Appendix 9 of the Schedule). The Conclusions shall be signed-approved by the head of unit/division that have prepared the afore-mentioned Conclusions.

18.2. Based on the afore-mentioned Conclusions (Appendix 9 of the Schedule) the Inspectorate decrees regarding certificate or its mandatory appendix issuance or supplementing. The decree (Appendix 10 of the Schedule) shall be signed-approved by the Head of Inspectorate. The Head of Inspectorate, having assessed the set of documentation submitted by the enterprise in question, has the right to suggest making the decision to issue the certificate granting the right to execute operation works on lower level power units than these requested by an enterprise and approved by the Inspectorate officials, who had checked the data provided.

18.3. In case the submitted documentation are not enough to define whether an enterprise fulfills the provisions of certificate issuance, then the Inspectorate (its Territorial Unit (or Monitoring, Technical Division)) shall have the right (not later than within 15 calendar days from the documentation submission date) to request for additional documents, and the analysis term shall be re-established, i.e. from additional data/documents submission date.

19. The Inspectorate shall decree:

19.1. to issue/supplement company's certificate:

19.1.1. for the period of five years for an operating enterprise that meets the requirements set forth in the Chapter V of the Schedule;

19.1.2. for the period of two years:

– for an enterprise that is in the process of establishment and meets the requirements set forth in the Chapter V of the Schedule;

– for an operating enterprise that requests to start operating higher level or other power sector units but is not completely ready (does not have all the necessary equipment and devices as well as process, normative and technical documentation specifying technical data of operated units, providing recommendations on operation procedures, etc. necessary to carry out/execute the works specified in the application in question);

19.1.3. to execute works on a specified site: for an enterprise registered in a foreign country and having no its affiliates or subsidiaries in Lithuania, but for the period not longer than the expiry date of the appropriate certificate issued in the enterprise's registration country or for contract validity period;

19.2. extend certificate validity term;

19.3. postpone the company's certification up to 6 months;

19.4. do not certify the company:

19.4.1. in case an enterprise in question is not in ability to submit the documentation listed in the Chapter VI of the Schedule;

19.4.2. in case during the inspection it is defined that the submitted data do not comply with the actual data;

19.5. suspend certificate validity (in the cases listed in the Chapter XI of the Schedule);

19.6. cancel certificate validity suspension (in the cases listed in the Chapter XII of the Schedule);

19.7. terminate certificate validity (in the cases listed in the Chapter X of the Schedule).

20. The Inspectorate shall inform an applicant on the decree within five business days from the date of decree.

21. Reasoned written notice on refusal to issue a certificate or its mandatory appendix's supplementing as well as on certification postponing shall be handed in or mailed to the enterprise in question.

22. An applicant has the right to object against the decree wording and, having informed the Inspectorate of his/her objection, within ten days from the decree date, appeal to the Certification Dispute Resolution Committee (hereinafter referred to as the Committee).

VIII. CERTIFICATE ISSUANCE

23. If an applicant within 10 days from the date of decree shall not appeal to the Committee, an applicant shall be issued a certificate on the afore-mentioned (Appendices 11, 12, 13, 14 or 15 of the Schedule). The applicant having agreed in written with the positive resolution wording, the certificate may be issued promptly.

24. The certificate shall be signed by the head of Inspectorate.

25. The certificate shall be held true only together with the list of works (the mandatory appendix).
26. The following data shall be specified in the certificate:
 - 26.1. the state authority that had issued the certificate;
 - 26.2. the certificate number;
 - 26.3. the decree approval date and number;
 - 26.4. name, code (registration number) and address of the enterprise in question;
 - 26.5. the list of certified operation units;
 - 26.6. the certificate validity term.
27. The following shall be specified in the mandatory appendix to the certificate (the list of works):
 - 27.1. the certificate number;
 - 27.2. the decree approval date and number;
 - 27.3. name, code (registration number) and address of the enterprise in question;
 - 27.4. the list of certified operation units;
 - 27.5. particular sites, types of works, units/pieces of equipment (the extract of operation works list of the power units specified in Appendices 1 to 5 of the Schedule 1–5);
 - 27.6. works list's or its items validity term.
28. The documentation related to certificate issuance (i.e. the certificate and works list copy, decree on the certificate issuance, supplementing, refusal to issue, the conclusion, the applicant's application for certificate issuance as well as the appendices-enclosures to this application) shall be kept in the Inspectorate during the entire term of the certificate validity and 5 years after the certificate expiry date.
29. An enterprise that obtains positive decree and does not apply to the Committee within ten days after this decree, shall collect its certificate in the Technical Division of the Inspectorate.
30. The certificate that is not collected for the period longer than one year shall be canceled.
31. The issued certificates and addenda to their appendices as well as extended certificates shall be registered in accordance with the procedures stipulated by the Certificates' Registry Regulations.
32. Certificates' Registry Regulations shall be approved by the Head of Inspectorate.
33. The Certificates' Registry shall be managed by the Technical Division of the Inspectorate.
34. An enterprise having lost the original copy of a certificate and submitted the Inspectorate reasoned written explanation as well as the copy of advertisement on the lost certificate or its mandatory appendix published in the Informative Notifications/Announcements, the enclosure to the Official Gazette, under the decision of the Inspectorate, the validity of the certificate in question shall be terminated within 10 business days, and the applicant shall be issued with the certificate duplicate, which shall be granted a new number.
35. The enterprise that has its affiliates is issued with the certificate on power units operation as well as the mandatory appendix to that (comprising the ultimate options of its entire affiliates); the affiliates are issued with the copy if the certificate as well as with the mandatory appendices that include the options of each affiliate.
36. The enterprise that is in the process of reorganization (changes its name, status, register manager, is reorganized by merging or separating, etc.) having submitted the Inspectorate the application together with all the documentation confirming reorganization, under the decision of the Inspectorate shall be issued with the new certificate, which shall be valid the entire validity period of the former certificate. Under the decision of the Inspectorate the validity of the former certificate shall be terminated and the certificate shall be returned to the Inspectorate. If the nature of activities of the enterprise that is in the process of reorganization is not changed, the performance managers and experts remain the same, technical equipment as well as all process, normative and technical documentation necessary to execute the appropriate works are not replaced, then such an enterprise shall not be inspected for its readiness to operate power units.
37. The list of works included in the certificate shall be copied down having not applied the requirements stipulated in the Chapters VI and VII of the Schedule for the enterprises that submit the application to reduce the scope of works specified in the list of works enclosed to the certificate.
38. The Inspectorate shall announce all the information on certificates issuance, validity extension, termination, suspension or suspension cancellation in the Informative Notifications/Announcements, the enclosure to the Official Gazette, as well as in the Power Sector Monitoring, the Inspectorate's publication and in the Inspectorate's website www.vei.lt The following data shall be specified in the announcement:
 - 38.1. name, code and address of the enterprise in question;
 - 38.2. the list of certified operation units and types of specified works (having specified the values of units);
 - 38.3. the certificate number;
 - 38.4. the certificate validity term;
 - 38.5. the certificate issuance, validity extension, validity termination, validity suspension and validity suspension cancellation dates.

IX. CERTIFICATE VALIDITY EXTENSION

39. Certificate validity shall be extended upon the expiry of its validity terms specified in Item 19 of the Schedule.

40. An enterprise wishing to extend the certificate validity shall (not later than within 30 calendar days prior to the certificate expiry term) submit the application to the Inspectorate and provide the following documents:

40.1. the documents on the changed data (i.e. on company managers, performance managers, experts, material-technical basis, authorized capital, etc.) as specified by Item 13 of the Schedule;

40.2. the list of major executed works (approximately 8 ÷ 10 works).

41. The Inspectorate having analyzed the list of works executed by an enterprise, shall verify the data and make a resolution (in accordance with the conclusions made by the Inspection Unit):

41.1. to extend the certificate in question validity;

41.2. to refuse extending of the certificate in question validity.

42. Enterprise data shall be checked (in accordance with Item 18 of the Schedule), having not taken into consideration the expiry terms of the issued certificate, if:

42.1. an enterprise during the past two years (i.e. before the certificate in question validity extension date) had not executed the works specified in the mandatory appendix;

42.2. within the certificate in question validity period the Inspectorate had analyzed reasoned claims related to the quality of works performed by an enterprise or court judgments on reimbursement/compensation of damage related to the quality of works performed by an enterprise came into force.

43. The number of certificate subject to extension shall not be changed. Record on validity extension shall be made in the certificate in question.

X. CERTIFICATE VALIDITY TERMINATION

44. Certificate validity shall be terminated under the resolution of the Inspectorate, if:

44.1. an enterprise in written refused the certificate in question;

44.2. on the basis of the former certificate an enterprise is issued with the new one;

44.3. an enterprise is liquidated;

44.4. an enterprise outrages the requirements of power activities regulating legislation and the Schedule;

44.5. the certificate validity is not extended;

44.6. when after the certificate validity suspension the specified violations are not eliminated over the period set by the Inspectorate (Item 7.7. of the Schedule).

45. The Inspectorate shall notify in written about the certificate validity termination the certificate owner and the Inspection Unit monitoring power units of the enterprise in question.

46. An enterprise within 10 days from the date of announcement publication in the Informative Notifications/Announcements, the enclosure to the Official Gazette shall return the terminated certificate to the Inspectorate. All returned certificates shall be kept in the Inspectorate for 5 years.

XI. CERTIFICATE VALIDITY SUSPENSION

47. Under the Inspectorate resolution (with the exception of the cases specified in the Chapter X of the Schedule) the validity of the certificate or its mandatory appendix specifying the works list is subject to be suspended, if:

47.1. an eEnterprise holding the certificate in question within a year's period repeatedly breaches the requirements set forth by the legislation on power units operation, if during an earlier inspection an enterprise was bind over to eliminate these breaches (Item 7.7. of the Schedule);

47.2. an enterprise staff operating power units are not certified in accordance to the procedures set fort by power activities regulating legislation or due to other reasons, the eEnterprise in question does not employ certified personnel;

47.3. an enterprise holding the certificate does not repeatedly ensure the set forth by the legislation quality requirements for executed works;

47.4. under the request of an enterprise holding the certificate.

48. Suspension of the certificate or the part specifying the work list included in the mandatory appendix to the afore-mentioned certificate shall be valid until the Inspectorate shall make resolution on cancelling certificate validity suspension.

XII. CERTIFICATE VALIDITY SUSPENSION CANCELLATION

49. Suspension of the validity of the certificate or of the part specifying the work list included in the mandatory appendix to the afore-mentioned certificate shall be cancelled upon the Inspectorate resolution, when:

49.1. an enterprise eliminates deficiencies because of which the validity of the certificate or of the part specifying the work list included in the mandatory appendix to the afore mentioned certificate was suspended ;

49.2. under the request of an enterprise holding the certificate.

XIII. COMMITTEE

50. Under the order of the Minister of Economy of the Republic of Lithuania the Committee shall be formed by the representatives of the Ministry of Economy, the Inspectorate, and the power enterprises associations.

51. The Committee shall resolve all disputes related to the Schedule application during enterprises/companies certification.

52. The Committee shall work following the Schedule and the Regulation on Committee Work approved by the order of the Minister of Economy of the Republic of Lithuania.

53. The enterprise, which is in the process of certification and which disagrees with the resolution of the Inspectorate regarding its certification, may appeal to the Committee.

54. The resolutions made by the Committee on enterprises/companies certification, shall be obligatory for the Inspectorate.

XIV. AUTHORITY AND RESPONSIBILITY OF THE CERTIFYING INSTITUTION

55. The Inspectorate, when issuing an enterprise with the certificate as well as cancelling its validity, shall have the right to invite the experts from the monitoring institutions authorized by the Government of the Republic of Lithuania and, according to the inspection conclusions, assess the enterprise's in question readiness.

56. The Inspectorate officials shall be responsible for the following issues:

56.1. legitimacy and objectiveness of their resolutions;

56.2. keeping commercial (technological and economical) secrets of the certified enterprises/companies in accordance with the laws in force.

57. The Inspectorate official shall have the right to:

57.1. check the enterprise's in question readiness to operate power units in accordance to all requirements defined by the Chapter V of the Schedule;

57.2. inform the Police Department under the Ministry of Interior of the Republic of Lithuania (according to the enterprise's in question registration location) about the enterprises operating power units for commercial purposes that do not hold valid certificate to carry out the afore mentioned activities;

57.3. if during the enterprise inspection the breaches of power operation activities (specified in Items 44.4, 44.5 and 44.6 of the Schedule) defined by the certificate are found, submit the Head of Inspectorate the offer to terminate the certificate validity.

58. All claims related to the breaches on power units operations regulated by the certificate shall be analyzed by the Inspectorate .

XV. RESPONSIBILITIES OF THE CERTIFICATE HOLDER

59. The Certificate Holder shall bear responsibility to:

59.1. follow the requirements defined by the Schedule as well as these set forth by the laws of the Republic of Lithuania and other legislations;

59.2. do not transfer under the contract the right to operate power units to other enterprises if these enterprises do not hold the certificates enabling to operate the afore mentioned power units;

59.3. obtain a new certificate in case of enterprise reorganization as well as in other cases stipulated by the Schedule;

59.4. keep the original certificate copy in the company's residence (in the affiliates of the company shall be kept a copy of certificate approved by the company manager);

59.5. within a month notify the Inspectorate on the changed address, telephone and/or fax number as well as the company manager.

60. The Certificate Holder shall not be permitted to carry out/execute any works not specified in the mandatory appendix to the certificate. During the entire certificate validity period the Certificate Holder shall employ performance managers and experts certified in accordance with the set forth procedures, as well as all the necessary process, normative, methodological and technical documentation and technical and process equipment or the contracts on such equipment lease or loan.

61. The Certificate Holder shall have the right to conclude work execution contracts (subcontracts) with other companies that hold the certificates granting the right to operate appropriate power units.

62. An enterprise that goes bankrupt shall return the certificate granting the right to operate power units to the Inspectorate. The Court* having made adjudication to bring the company to a termination, the administrator shall return the certificate to the Inspectorate not later than within 5 business days from the date of the specified adjudication's stand up or the Creditor's Assembly resolution.

* when the bankruptcy process takes place otherwise than the legal procedure, the adjudication is made by the Creditors' Assembly

XVI. FINAL PROVISIONS

63. For the breaches of power units operation provisions stipulated by the Schedule, an enterprise may be issued a written warning (Item 7.7 of the Schedule), applied in the Code of Administrative Violations of Law of the Republic of Lithuania (Official Gazette, 1985, No [1-1](#)), action measures may be set and the certificate validity may be terminated.

64. Operation of power units without the certificate issued in accordance with the Schedule, is prohibited (Item 7.2 of the Schedule).

65. For the operation of power units for commercial purposes without holding an appropriate certificate and for the breach of the stipulated provisions on power units operation, company managers or owners shall be responsible in accordance with the procedures set forth by the laws and other legislation of the Republic of Lithuania.

THE LIST OF POWER UNITS OPERATION WORKS

1. Operation works on electric motors and generators of 30 kW up to 1 MW power.
 2. Repair works on electric motors and generators of 30 kW up to 1 MW power.
 3. Operation works on electric motors and generators of > 1 MW power.
 4. Repair works on electric motors and generators of > 1 MW power..
 5. Operation works on power transmission lines of up to 400 kV voltage.
 6. Repair works on power transmission lines of up to 400 kV voltage.
 7. Repair works on power distribution and transformer stations of up to 400 kV voltage.
 8. Testing works on power distribution and transformer stations of up to 400 kV voltage.
 9. Process control and maintenance works on power distribution and transformer stations of up to 400 kV voltage.
 10. Relay safety, automatics and control systems operation works on power network of up to 400 kV voltage.
 11. Construction repair works on power network of up to 400 kV voltage.
 12. Repair works on power network of up to 110 kV voltage.
 13. Testing works on power network of up to 110 kV voltage.
 14. Process control and maintenance works on power network of up to 110 kV voltage.
 15. Relay safety, automatics and control systems operation works on power network of up to 110 kV voltage.
 16. Repair works on power network of up to 35 kV voltage.
 17. Testing works on power network of up to 35 kV voltage.
 18. Process control and maintenance works on power network of up to 35 kV voltage.
 19. Relay safety, automatics and control systems operation works on power network of up to 35 kV voltage.
 20. Repair works on power network and units of up to 10 kV voltage.
 21. Testing works on power network and units of up to 10 kV voltage.
 22. Process control and maintenance works on power network and units of up to 10 kV voltage.
 23. Operation works on power network and units of up to 10 kV voltage.
 24. Relay safety, automatics and control systems operation works on power network and units of up to 10 kV voltage.
 25. Construction repair works on power network and units of up to 10 kV voltage.
 26. Operation works on power network and units of up to 1000 V voltage.
 27. Testing works on power network and units of up to 1000 V voltage.
 28. Operation works on special power units.
 29. Operation works on power installations of up to 1000 V voltage.
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THE LIST OF HEATING UNITS AND TURBINES OPERATION WORKS

1. Repair works on heating units (with the exception of heat networks and heat stations) of up to 1.4 MPa pressure.
 2. Testing works on heating units (with the exception of heat networks and heat stations) of up to 1.4 MPa pressure.
 3. Process control and maintenance works on heating units (with the exception of heat networks and heat stations) of up to 1.4 MPa pressure.
 4. Operation works on auxiliary heating units of up to 1.4 MPa pressure.
 5. Safety, automatics and control systems operation works on heating units of up to 1.4 MPa pressure.
 6. Repair works on heating networks with up to 100 mm relative diameter DN.
 7. Testing works on heating networks with up to 100 mm relative diameter DN.
 8. Process control and maintenance works on heating networks with up to 100 mm relative diameter DN.
 9. Repair works on heating networks with up to 500 mm relative diameter DN.
 10. Testing works on heating networks with up to 500 mm relative diameter DN.
 11. Process control and maintenance works on heating networks with up to 500 mm relative diameter DN.
 12. Repair works on heating networks with up to ≥ 500 mm relative diameter DN.
 13. Testing works on heating networks with up to ≥ 500 mm relative diameter DN.
 14. Process control and maintenance works on heating networks with up to ≥ 500 mm relative diameter DN.
 15. Operation works on heating stations of up to 1 MW (together with building heating and hot water production systems).
 16. Operation works on heating stations of up to 10 MW (together with building heating and hot water production systems).
 17. Operation works on heating stations of up to ≥ 10 MW (together with building heating and hot water production systems).
 18. Repair works on heating units (with the exception of heat networks and heat stations) of up to 4.0 MPa pressure.
 19. Testing works on heating units (with the exception of heat networks and heat stations) of up to 4.0 MPa pressure.
 20. Process control and maintenance works on heating units (with the exception of heat networks and heat stations) of up to 4.0 MPa pressure.
 21. Operation works on auxiliary heating units of up to 4.0 MPa pressure.
 22. Testing and repair works on water chemical preparation equipment of heating units of up to 4.0 MPa pressure.
 23. Safety, automatics and control systems operation works on heating units of up to 4.0 MPa pressure.
 24. Repair works on heating units (with the exception of heat networks and heat stations) of up to 25.5 MPa pressure.
 25. Testing works on heating units (with the exception of heat networks and heat stations) of up to 25.5 MPa pressure.
 26. Process control and maintenance works on heating units (with the exception of heat networks and heat stations) of up to 25.5 MPa pressure.
 27. Operation works on auxiliary heating units of up to 25.5 MPa pressure.
 28. Testing and repair works on water chemical preparation equipment of heating units of up to 25.5 MPa pressure.
 29. Safety, automatics and control systems operation works on heating units of up to 25.5 MPa pressure.
 30. Chemical cleaning works on heating units internal surfaces.
 31. Reveting, insulation and paint-coating works on heating units as well as repair works on funnel surfaces.
 32. Operation works on turbines of up to 1 MW power.
 33. Repair works on turbines of up to 1 MW power.
 34. Operation works on turbines of up to ≥ 1 MW power.
 35. Repair works on turbines of up to ≥ 1 MW power.
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THE LIST OF GAS UNITS OPERATION WORKS

1. Terminal and storage facilities operation works.
2. Gas-main pipelines and distribution stations repair works.
3. Gas-main pipelines and distribution stations testing works.
4. Gas-main pipelines and distribution stations process control and maintenance works.
5. Compressor stations equipment repair works.
6. Compressor stations equipment testing works.
7. Compressor stations equipment process control and maintenance works.
8. Distribution gas-pipelines and equipment repair works.
9. Distribution gas-pipelines and equipment process control, maintenance and testing works.
10. Gas supply lines electrochemical corrosion protection equipment operation works.
11. Natural gas automatics, gauging (metering) and control systems operation works.
12. Buildings internal gas supply pipelines and 4kW to 400 kW power gas combusting equipment operation works.
13. Gas supply pipelines underwater passages maintenance and repair works.
14. Pressurized natural gas stations repair works.
15. Pressurized natural gas stations testing works.
16. Pressurized natural gas stations process control and maintenance works.

THE LIST OF LPG UNITS OPERATION

1. LPG terminal and storage facilities operation works.
2. LPG filling station and rack repair works.
3. LPG filling station and rack repair testing works.
4. LPG filling station and rack process control and maintenance works.
5. LPG filling stations repair works.
6. LPG filling stations testing works.
7. LPG filling stations process control (vehicle LPG tank filling) and maintenance works.
8. LPG tank equipment and distribution gas pipelines, inlets repair works.
9. LPG tank equipment and distribution gas pipelines, inlets testing works.
10. LPG tank equipment and distribution gas pipelines, inlets control and maintenance works.
11. LPG internal gas supply pipelines and 4kW to 400 kW power gas combusting equipment operation works.
12. LPG units' electrochemical corrosion protection equipment operation works.
13. LPG units' automatics, gauging (metering) and control systems operation works.
14. Vehicle and railway tank loading/unloading with LPG equipment operation works.
15. LPG tank repair and testing works.

THE LIST OF OIL AND OIL PRODUCT UNITS OPERATION WORKS

1. Oil refining units repair works.
2. Oil refining units testing works.
3. Oil refining units process control and maintenance works.
4. Crude and oil product pipelines repair works.
5. Crude and oil product pipelines testing works.
6. Crude and oil product pipelines control and maintenance works.
7. Oil and/or oil product (with the exception of LPG) and liquid fuel terminal, storage facilities (with the exception of tanks) as well as their appurtenances repair works.
8. Oil and/or oil product (with the exception of LPG) and liquid fuel terminal, storage facilities (with the exception of tanks) as well as their appurtenances testing works.
9. Oil and/or oil product (with the exception of LPG) and liquid fuel terminal, storage facilities (with the exception of tanks) as well as their appurtenances control and maintenance works.
10. Oil and/or oil product (with the exception of LPG) and liquid fuel tanks up to 10000 m³ as well as their appurtenances operation works.
11. Oil and/or oil product (with the exception of LPG) and liquid fuel tanks up to 10000 m³ as well as their appurtenances repair works.
12. Oil and/or oil product (with the exception of LPG) and liquid fuel tanks over 10000 m³ as well as their appurtenances operation works.
13. Oil and/or oil product (with the exception of LPG) and liquid fuel tanks over 10000 m³ as well as their appurtenances repair works.
14. Oil and/or oil product (with the exception of LPG) and other liquid fuel units' automatics and control systems operation works.
15. Oil and/or oil product (with the exception of LPG) and other liquid fuel units' electrochemical corrosion protection equipment operation works.

(Application form)

(company name)

(company data: address, telephone of the residence)

Attn.: the *State Energy Inspectorate*
under the *Ministry of Economy of the Republic of Lithuania*

**CERTIFICATE ISSUANCE (SUPPLEMENTING)
APPLICATION**

_____ day _____ month 200 _ year

Hereby we are kindly requesting to issue (supplement) the certificate enabling to operate

(please, specify types of power units and works hat you wish to operate)

Authorized representative of the company _____
(name, address, telephone)

ENCLOSURES:

1. Company data card.
2. The certificate enabling to operate power units, issued for performance managers and experts.
3. The copy of company registration certificate, approved by the company manager or by any person authorized by the company manager.
4. The list of operation works to be executed on power units (under the company's request).
5. The list of the major existing process, normative and technical documentation necessary to execute the works specified in the application, specifying technical data on operated units and including recommendations for operation procedures, etc., approved by the company manager or by any person authorized by the company manager.
6. The list of the major existing technical and process equipment and devices necessary to execute the works specified in the application as well as the list on personal protection measures necessary to ensure safe work, approved by the company manager or by any person authorized by the company manager.
7. The copies of lease or loan agreements on planned to rent units/equipment and devices.
8. The document confirming payment of expenditures incurred due to verification of the certification related data.
9. The list of works executed over the past two years' period (to be submitted when replacing or re-registering existing certificate, or requesting to supplement or amend the mandatory appendix to the certificate).

Company manager _____
(signature) (name)

NOTE. The application shall be concluded on the company form.

(Company data card form)

(company name)

COMPANY DATA CARD

(drawing up date)

(The following data shall be specified in the company data card:

1. Full and shortened name of the company.
2. Address and zip code.
3. Telephone and fax numbers (please, specify city/area code).
4. Bank details.
5. Company code and registration date.
6. Company activities type/area.
7. Major activities.
8. The scope of activities (over the period of the past two years or from the date of company establishment if the company was established later than the indicated period) thous.Litas
Out of this amount, power units operation-related activities thous.Litas
9. Authorized capital of the company thous.Litas
10. Total employee number people, out of them:
 - 10.1. in energy activities sectors people;
 - 10.2. experts certified to operate power units, performance managers people.
11. Characteristics of the company's material-technical and information/data base.
(Companies owning independent subdivisions shall enclose company management charts).

ENCLOSURE: (enclosed documents to be enlisted).

(company manager position)

Locus Sigillii

(signature)

(name)

(Performance managers and experts' certification to operate power units form)

(company name)

(company details)

Attn.: the *State Energy Inspectorate*
under the *Ministry of Economy of the Republic of Lithuania*

CERTIFICATE

(drawing up date)

Item No	Name	Placement date	Position	Speciality, background	Experience	Certification dates and areas	Certifying authority	Certificate No	Next certification date
1.	A. Mangers								
2.									
3.									
4.									
1.	B. Performance managers								
2.									
3.									
4.									
1.	C. Experts								
2.									
3.									
4.									

(company manager position)

Locus Sigilli

(signature)

(name)